



COMPLIANCE ALERT

FORM CRS ON DBA WEBSITES

****Action Required for any Representative using a DBA website.****

Did you know that if you use a DBA and you have a website that promotes your Packerland business, you must have Packerland's Form CRS posted to your website as well?

In the SEC's view, if a firm offers brokerage or investment advisory services to retail investors through its financial professionals (which may be the firm's employees or independent contractors) operating under a DBA name, and the firm's services are offered or promoted through such DBA websites, those DBA websites should generally be treated as the firm's website for the purposes of posting Form CRS, and therefore the firm should post its relationship summary on both the firm's public website AND any such DBA websites in accordance with Exchange Act Rule 17a-14(c)(3), Advisor's Act Rule 204-5(b)(3), and General Instruction 10.A.

Action Required:

If you operate your business under a name other than Packerland Brokerage Services and have a website under that other name, please add a [Form CRS](#) hyperlink to your home page. We suggest adding it in close proximity to your required BrokerCheck link or near any other disclosure links that are prominently displayed on your home page.

In an effort to make keeping this current as easy as possible for representatives using DBA websites, we're suggesting that you name the link [Form CRS](#) and have the link point to <https://www.packerlandbrokerage.com/disclosures>. Packerland's Form CRS is the first document on this page, and linking to our Disclosures page will eliminate the need for you to manually update the actual document each time Packerland issues an update.

Please add the link as soon as possible but no later than the end of February. See the SEC's [Form CRS FAQs](#) for more information on Form CRS, and contact compliance@packerlandbrokerage.com with any questions.

[EMAIL COMPLIANCE](#)

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The views contained herein are those of the author and should not be considered an offer to sell nor a solicitation of an offer to buy.

Important Notice to Recipients

It is important that you do not use e-mail to request, authorize or effect the purchase or sale of any security, to send fund transfer instructions, or to effect any other transactions. Any such request, orders, or instructions that you send will not be accepted and will not be processed by Packerland Brokerage Services Inc.

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